

Other Elective



Other Elective

Lieutenant Governor

Auditor of Accounts

Insurance Commissioner

- Regulatory Activities
- Bureau of Examination, Rehabilitation and Guaranty

State Treasurer

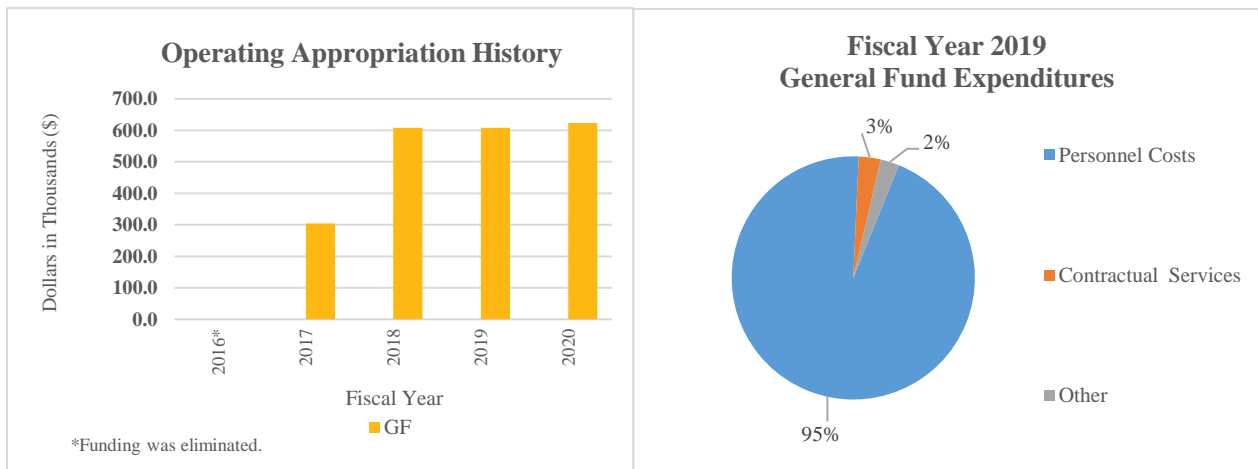
- Administration
- Cash and Debt Management
- Debt Management
- Refunds and Grants
- Reconciliations and Transaction Management
- Contributions and Plan Management

Lieutenant Governor



At a Glance

- Preside over the State Senate;
- Chair the Board of Pardons;
- Serve on the Budget Commission and State Employee Benefits Committee; and
- Work to improve the lives of residents of Delaware through constituent work and initiatives focused on areas such as behavioral health, physical health, education and economy.



Overview

The mission of the Office of the Lieutenant Governor is to fulfill the constitutional duties of the office by effectively presiding over the State Senate; fairly and equitably chairing the Board of Pardons; assisting the Governor upon request; working with the legislature on policy initiatives relating to education, health and the economy; and providing complete and efficient services to constituents.

On the Web

For more information, visit ltgov.delaware.gov.

Lieutenant Governor



Performance Measures

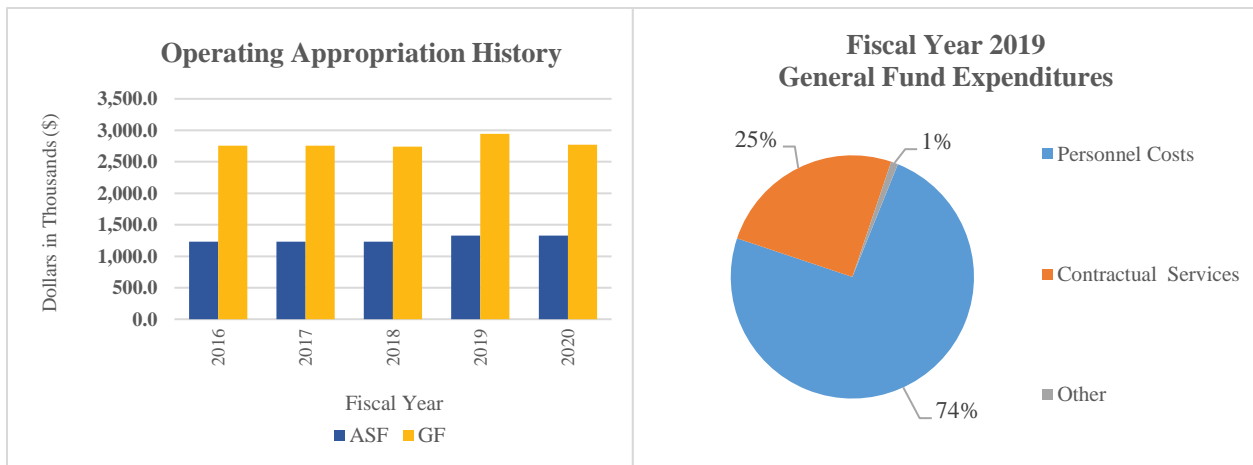
IPU	Performance Measure Name	Fiscal Year 2019 Actual	Fiscal Year 2020 Budget	Fiscal Year 2021 Governor's Recommended
12-01-01	Lieutenant Governor			
	% of constituent inquiries responded to within 30 days	100	100	100

Auditor of Accounts



At a Glance

- Issue timely reports that enhance public accountability and stewardship of state and federal programs;
- Identify and reduce fraud, waste and abuse in organizations receiving state and federal funds;
- Ensure quality non-partisan audits, attestation engagements and investigations that comply with professional standards;
- Ensure appropriate levels of audit coverage throughout the State, including the State's Comprehensive Annual Financial Report and the Federal Single Audit; and
- Facilitate ongoing discussions and dialog regarding audit recommendations and risk mitigation relative to internal control weaknesses identified throughout state government.



Overview

As the independent auditors for the State, the Office of Auditor of Accounts (AOA) is responsible for various audits of state and federal funds, including, but not limited to, the State's annual financial and federal single audits. AOA also helps to assure accountability of taxpayer dollars by conducting various audits, attestation engagements and investigations of school districts, charter schools, departments and agencies. In addition to identifying fraud, waste and abuse, AOA evaluates government operations and programs for economy, efficiency and effectiveness and makes recommendations for improvement.

Auditor of Accounts



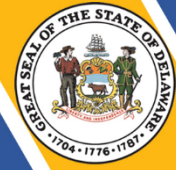
On the Web

For more information, visit auditor.delaware.gov.

Performance Measures

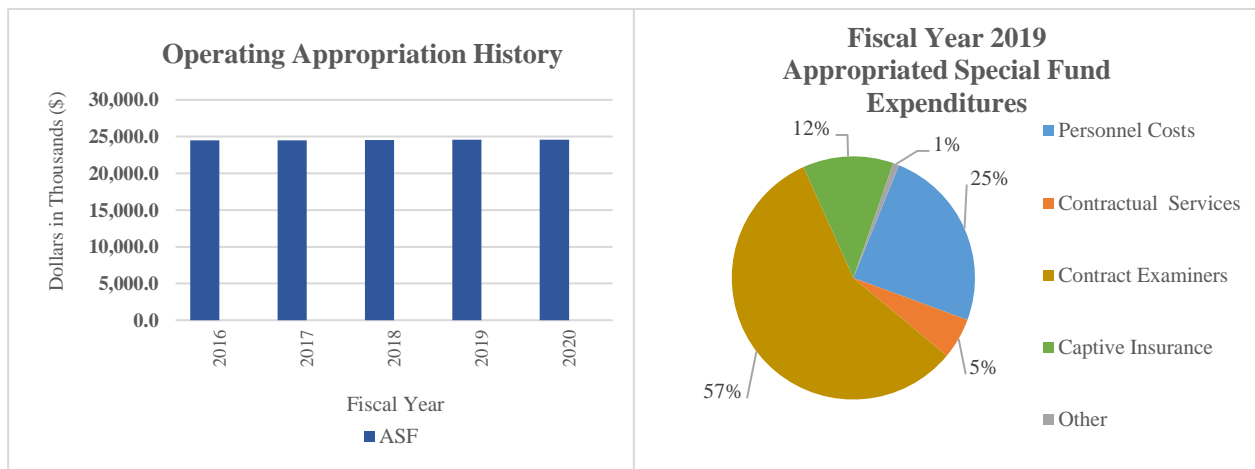
IPU	Performance Measure Name	Fiscal Year 2019 Actual	Fiscal Year 2020 Budget	Fiscal Year 2021 Governor's Recommended
12-02-01	Auditor of Accounts			
	# of reports issued:	50	75	75
	School Districts/Charter Schools	19	25	25
	All Others	31	50	50
	% of in-house public audit, inspection and investigation reports issued within 45 business days of completion and review of fieldwork	100	100	100
	% of continuing professional education compliance	100	100	100
	% of audit staff with professional certifications	60	80	80
	% of confidential fraud tips triaged within seven days	*	*	100
	% of audits mandated by Delaware Code performed	*	*	100
*New performance measure.				

Insurance Commissioner



At a Glance

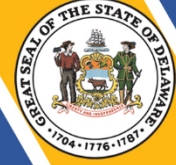
- Monitor the financial operations of approximately 1,450 foreign and 140 domestic insurers licensed in Delaware and take regulatory measures to assure their solvency;
- Regulate captive insurance companies, consistent with their nature and purpose, and foster economic development in Delaware through the growth of the captive insurance industry;
- Investigate property and casualty, auto, life, health, workers' compensation, provider and agent insurance fraud to reduce the cost of insurance to consumers;
- Issue licenses to insurance agents, brokers, adjusters, appraisers and consultants in order to ensure competency and ethical conduct in the field; and
- Review and regulate insurance contracts and rate filings to confirm compliance with applicable actuarial standards, statutory provisions and regulations.



Overview

In accordance with Title 18 of the Delaware Code, the Insurance Commissioner of the State of Delaware will investigate consumer complaints and inquiries, and advocate for Delawareans; ensure Delaware companies are in good financial health; communicate timely and relevant insurance-related information to Delawareans; investigate and prosecute insurance fraud; license agents and brokers; fund volunteer fire departments and other first responders; police the conduct of carriers, agents and brokers doing business in Delaware; save money for small businesses while increasing safety at work sites; and foster economic development in Delaware as more companies domicile here.

Insurance Commissioner

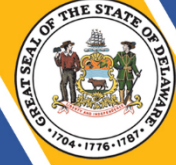


On the Web

For more information, visit insurance.delaware.gov.

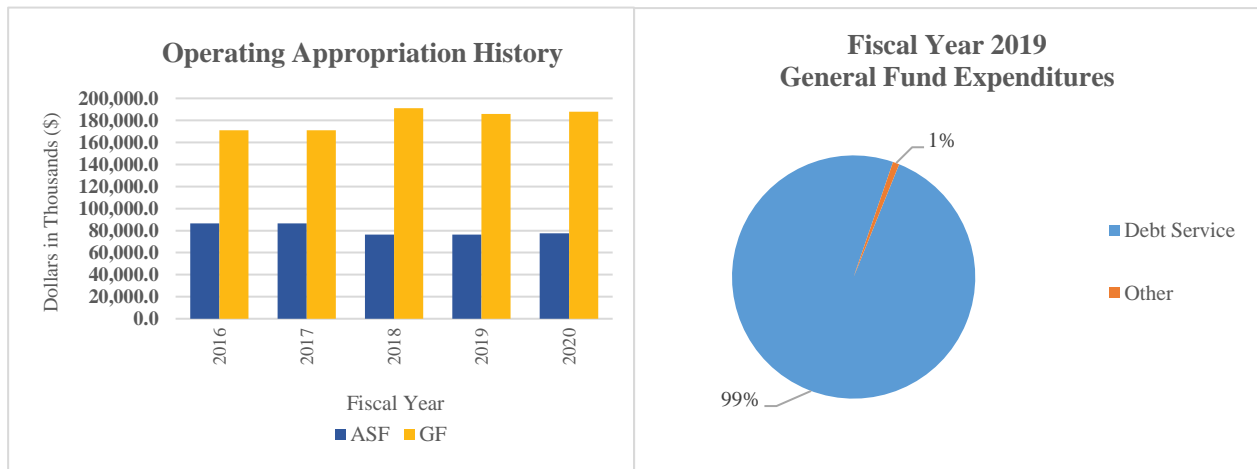
Performance Measures

IPU	Performance Measure Name	Fiscal Year 2019 Actual	Fiscal Year 2020 Budget	Fiscal Year 2021 Governor's Recommended
12-03-02	Bureau of Examination, Rehabilitation and Guaranty			
	Consumer Services			
	# of new licenses:			
	producers	25,516	25,769	27,663
	adjusters	15,016	15,149	15,016
	appraisers	336	301	336
	public adjusters	25	21	25
	fraternal producers	17	59	17
	apprentice adjusters	11	4	11
	surplus lines brokers	220	254	220
	limited lines producers	1,391	1,457	1,646
	business entities	1,787	1,653	1,973
	# of consumer complaints/inquiries	4,032	4,860	4,032
	# of arbitration cases heard	120	125	135
	Bureau of Captive and Financial Insurance Products			
	# of companies regulated:			
	domestic	140	147	150
	foreign	1,446	1,470	1,475
	# of captive insurance companies newly licensed	101	100	100



At a Glance

- Provide the residents of Delaware with sound fiscal stewardship;
- Formulate strategic policy and manage the requisite resources to execute core functions;
- Provide oversight and administration for the State's investment portfolio, banking services, and debt management;
- Oversee the design and administration of the State's deferred compensation plans, college investment plan and Achieving a Better Life Experience (ABLE) plan; and
- Process, record and reconcile all state collections and disbursements promptly.



Overview

The vision for the Office of the State Treasurer (OST) is to be “first in finance” – recognized as the premier state for sound fiscal management. The mission of the OST is to create value for Delawareans by fostering a culture of financial excellence in the execution of the State's fiscal operations. OST organizes and reports activities in four divisions: Cash and Debt Management; Contributions and Plan Management; Reconciliations and Transaction Management; and Policy and Office Management.

On the Web

For more information, visit treasurer.delaware.gov.



Performance Measures

IPU	Performance Measure Name	Fiscal Year 2019 Actual	Fiscal Year 2020 Budget	Fiscal Year 2021 Governor's Recommended
12-05-02	Cash and Debt Management*			
	Investment Return	1.02	1.26	1.02
	Banking Efficiency (\$ per transaction)	0.08	0.10	0.08
	Debt Feasibility	AAA(3)	AAA(3)	AAA(3)
	<i>*Performance measures are based on calendar years 2017, 2018 and 2019.</i>			
12-05-05	Reconciliations and Transaction Management*			
	Transactional Productivity (\$ per FTE)	2.10	2.00	2.10
	<i>*Performance measures are based on calendar years 2017, 2018 and 2019.</i>			
12-05-06	Contributions and Plan Management*			
	% of eligible employees contributing to the State's retirement program	36.8	37.5	37.0
	College rating	Bronze	Bronze	Silver
	<i>*Performance measures are based on calendar years 2017, 2018 and 2019.</i>			

**OTHER ELECTIVE OFFICES
DEPARTMENT SUMMARY**

12-00-00	POSITIONS				DOLLARS			
Appropriation Units	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Recommend	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Recommend
Lieutenant Governor								
General Funds	6.0	6.0	6.0	6.0	627.8	623.3	630.7	630.7
Appropriated S/F								
Non-Appropriated S/F					18.5			
	6.0	6.0	6.0	6.0	646.3	623.3	630.7	630.7
Auditor of Accounts								
General Funds	20.0	20.0	38.0	21.0	2,377.3	2,770.5	5,619.3	3,054.2
Appropriated S/F	7.0	7.0		7.0	835.2	1,330.4	52.1	1,330.4
Non-Appropriated S/F					4.4	24.0		
	27.0	27.0	38.0	28.0	3,216.9	4,124.9	5,671.4	4,384.6
Insurance Commissioner								
General Funds								
Appropriated S/F	89.0	96.0	98.0	98.0	26,109.9	24,568.4	26,928.8	26,868.7
Non-Appropriated S/F	2.0	3.0	3.0	3.0	745.7	151.9	151.9	151.9
	91.0	99.0	101.0	101.0	26,855.6	24,720.3	27,080.7	27,020.6
State Treasurer								
General Funds	11.0	11.0	11.0	11.0	185,583.0	187,864.3	187,993.7	204,377.1
Appropriated S/F	10.0	13.0	14.0	13.0	75,574.9	77,435.6	78,357.7	77,962.7
Non-Appropriated S/F	3.0	4.0	4.0	4.0	445,816.6	180,838.2	180,838.2	180,838.2
	24.0	28.0	29.0	28.0	706,974.5	446,138.1	447,189.6	463,178.0
TOTAL								
General Funds	37.0	37.0	55.0	38.0	188,588.1	191,258.1	194,243.7	208,062.0
Appropriated S/F	106.0	116.0	112.0	118.0	102,520.0	103,334.4	105,338.6	106,161.8
Non-Appropriated S/F	5.0	7.0	7.0	7.0	446,585.2	181,014.1	180,990.1	180,990.1
	148.0	160.0	174.0	163.0	737,693.3	475,606.6	480,572.4	495,213.9

**OTHER ELECTIVE OFFICES
DEPARTMENT SUMMARY**

12-00-00 Appropriation Units	POSITIONS				DOLLARS			
	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Recommend	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Recommend
OTHER AVAILABLE FUNDS - REGULAR OPERATIONS								
General Funds					26,790.9	268.0		
Special Funds					0.1			
SUBTOTAL					26,791.0	268.0		
TOTAL DEPARTMENT - REGULAR OPERATIONS								
General Funds					215,379.0	191,526.1	194,243.7	208,062.0
Special Funds					549,105.3	284,348.5	286,328.7	287,151.9
TOTAL					764,484.3	475,874.6	480,572.4	495,213.9
TOTAL DEPARTMENT								
FIRST STATE IMPROVEMENT FUND - SPECIAL FUNDS								
CAPITAL IMPROVEMENTS - SPECIAL FUNDS								
					190.0			
GRAND TOTAL								
General Funds					215,379.0	191,526.1	194,243.7	208,062.0
Special Funds					549,295.3	284,348.5	286,328.7	287,151.9
GRAND TOTAL					764,674.3	475,874.6	480,572.4	495,213.9
	(Reverted)				208.2			
	(Encumbering)				268.0			
	(Continuing)							

**OTHER ELECTIVE OFFICES
LIEUTENANT GOVERNOR
LIEUTENANT GOVERNOR
INTERNAL PROGRAM UNIT SUMMARY**

12-01-01								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Personnel Costs								
General Funds	592.5	588.6	596.0	596.0				596.0
Appropriated S/F								
Non-Appropriated S/F								
	<u>592.5</u>	<u>588.6</u>	<u>596.0</u>	<u>596.0</u>				<u>596.0</u>
Travel								
General Funds	5.9	1.3	1.3	1.3				1.3
Appropriated S/F								
Non-Appropriated S/F								
	<u>5.9</u>	<u>1.3</u>	<u>1.3</u>	<u>1.3</u>				<u>1.3</u>
Contractual Services								
General Funds	18.7	23.6	23.6	23.6				23.6
Appropriated S/F								
Non-Appropriated S/F	<u>18.5</u>							
	<u>37.2</u>	<u>23.6</u>	<u>23.6</u>	<u>23.6</u>				<u>23.6</u>
Supplies and Materials								
General Funds	2.9	2.1	2.1	2.1				2.1
Appropriated S/F								
Non-Appropriated S/F								
	<u>2.9</u>	<u>2.1</u>	<u>2.1</u>	<u>2.1</u>				<u>2.1</u>
Expenses - Lt. Governor								
General Funds	7.8	7.7	7.7	7.7				7.7
Appropriated S/F								
Non-Appropriated S/F								
	<u>7.8</u>	<u>7.7</u>	<u>7.7</u>	<u>7.7</u>				<u>7.7</u>
TOTAL								
General Funds	627.8	623.3	630.7	630.7				630.7
Appropriated S/F								
Non-Appropriated S/F	<u>18.5</u>							
	<u>646.3</u>	<u>623.3</u>	<u>630.7</u>	<u>630.7</u>				<u>630.7</u>
IPU REVENUES								
General Funds								
Appropriated S/F								
Non-Appropriated S/F								
POSITIONS								
General Funds	6.0	6.0	6.0	6.0				6.0
Appropriated S/F								
Non-Appropriated S/F								
	<u>6.0</u>	<u>6.0</u>	<u>6.0</u>	<u>6.0</u>				<u>6.0</u>

BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS

*Recommend base funding to maintain Fiscal Year 2020 level of service.

**OTHER ELECTIVE OFFICES
AUDITOR OF ACCOUNTS
AUDITOR OF ACCOUNTS
INTERNAL PROGRAM UNIT SUMMARY**

12-02-01								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Personnel Costs								
General Funds	1,719.5	2,162.2	3,778.4	2,183.3			65.6	2,248.9
Appropriated S/F	170.7	600.6		600.6				600.6
Non-Appropriated S/F								
	<u>1,890.2</u>	<u>2,762.8</u>	<u>3,778.4</u>	<u>2,783.9</u>			<u>65.6</u>	<u>2,849.5</u>
Travel								
General Funds	2.8	4.9	14.7	4.9			9.8	14.7
Appropriated S/F	1.4	9.5	28.5	9.5				9.5
Non-Appropriated S/F		1.1						
	<u>4.2</u>	<u>15.5</u>	<u>43.2</u>	<u>14.4</u>			<u>9.8</u>	<u>24.2</u>
Contractual Services								
General Funds	632.7	583.3	1,768.8	583.3			168.4	751.7
Appropriated S/F	634.3	705.5		705.5				705.5
Non-Appropriated S/F	4.1	22.6						
	<u>1,271.1</u>	<u>1,311.4</u>	<u>1,768.8</u>	<u>1,288.8</u>			<u>168.4</u>	<u>1,457.2</u>
Supplies and Materials								
General Funds	9.6	9.4	28.2	9.4			18.8	28.2
Appropriated S/F	15.4	4.4	13.2	4.4				4.4
Non-Appropriated S/F	0.3	0.3						
	<u>25.3</u>	<u>14.1</u>	<u>41.4</u>	<u>13.8</u>			<u>18.8</u>	<u>32.6</u>
Capital Outlay								
General Funds	12.7	10.7	29.2	10.7				10.7
Appropriated S/F	13.4	10.4	10.4	10.4				10.4
Non-Appropriated S/F								
	<u>26.1</u>	<u>21.1</u>	<u>39.6</u>	<u>21.1</u>				<u>21.1</u>
TOTAL								
General Funds	2,377.3	2,770.5	5,619.3	2,791.6			262.6	3,054.2
Appropriated S/F	835.2	1,330.4	52.1	1,330.4				1,330.4
Non-Appropriated S/F	4.4	24.0						
	<u>3,216.9</u>	<u>4,124.9</u>	<u>5,671.4</u>	<u>4,122.0</u>			<u>262.6</u>	<u>4,384.6</u>
IPU REVENUES								
General Funds								
Appropriated S/F	461.0	519.0	519.0	519.0				519.0
Non-Appropriated S/F	8.1	24.0	24.0	24.0				24.0
	<u>469.1</u>	<u>543.0</u>	<u>543.0</u>	<u>543.0</u>				<u>543.0</u>
POSITIONS								
General Funds	20.0	20.0	38.0	20.0			1.0	21.0
Appropriated S/F	7.0	7.0		7.0				7.0
Non-Appropriated S/F								
	<u>27.0</u>	<u>27.0</u>	<u>38.0</u>	<u>27.0</u>			<u>1.0</u>	<u>28.0</u>

**OTHER ELECTIVE OFFICES
AUDITOR OF ACCOUNTS
AUDITOR OF ACCOUNTS
INTERNAL PROGRAM UNIT SUMMARY**

12-02-01					Inflation			
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	& Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend

BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS

*Do not recommend base adjustments of 7.0 FTEs and (7.0) ASF FTEs, \$600.6 and (\$600.6) ASF in Personnel Costs, and \$705.5 and (\$705.5) ASF in Contractual Services.

*Recommend enhancements of \$65.6 in Personnel Costs and 1.0 FTE State Auditor III to address staffing needs; \$9.8 in Travel to support professional development and training opportunities; \$57.8 in Contractual Services for costs associated with software licensing; \$10.6 in Contractual Services for costs associated with Association Dues; \$40.0 in Contractual Services for costs associated with conducting in-house training; \$60.0 in Contractual Services for tuition reimbursement; and \$18.8 in Supplies and Materials for office, computer and instructional supplies. Do not recommend additional enhancements of \$928.9 in Personnel Costs and 10.0 FTEs, \$19.0 ASF in Travel, \$311.6 in Contractual Services, \$8.8 ASF in Supplies and Materials, and \$18.5 in Capital Outlay.

**OTHER ELECTIVE OFFICES
INSURANCE COMMISSIONER
APPROPRIATION UNIT SUMMARY**

12-03-00	POSITIONS				DOLLARS			
Programs	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Recommend	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Recommend
Regulatory Activities								
General Funds								
Appropriated S/F	12.0	12.0	14.0	14.0	1,036.3	1,029.7	1,294.4	1,234.3
Non-Appropriated S/F					444.7			
	12.0	12.0	14.0	14.0	1,481.0	1,029.7	1,294.4	1,234.3
Exam, Rehab & Guaranty								
General Funds								
Appropriated S/F	77.0	84.0	84.0	84.0	25,073.6	23,538.7	25,634.4	25,634.4
Non-Appropriated S/F	2.0	3.0	3.0	3.0	301.0	151.9	151.9	151.9
	79.0	87.0	87.0	87.0	25,374.6	23,690.6	25,786.3	25,786.3
TOTAL								
General Funds								
Appropriated S/F	89.0	96.0	98.0	98.0	26,109.9	24,568.4	26,928.8	26,868.7
Non-Appropriated S/F	2.0	3.0	3.0	3.0	745.7	151.9	151.9	151.9
	91.0	99.0	101.0	101.0	26,855.6	24,720.3	27,080.7	27,020.6

**OTHER ELECTIVE OFFICES
INSURANCE COMMISSIONER
REGULATORY ACTIVITIES
INTERNAL PROGRAM UNIT SUMMARY**

12-03-01								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Personnel Costs								
General Funds								
Appropriated S/F	821.7	831.1	1,081.4	831.1			204.6	1,035.7
Non-Appropriated S/F								
	<u>821.7</u>	<u>831.1</u>	<u>1,081.4</u>	<u>831.1</u>			<u>204.6</u>	<u>1,035.7</u>
Travel								
General Funds								
Appropriated S/F	3.6	2.4	2.4	2.4				2.4
Non-Appropriated S/F								
	<u>3.6</u>	<u>2.4</u>	<u>2.4</u>	<u>2.4</u>				<u>2.4</u>
Contractual Services								
General Funds								
Appropriated S/F	202.0	167.0	177.0	167.0		10.0		177.0
Non-Appropriated S/F	436.3							
	<u>638.3</u>	<u>167.0</u>	<u>177.0</u>	<u>167.0</u>		<u>10.0</u>		<u>177.0</u>
Supplies and Materials								
General Funds								
Appropriated S/F	4.0	8.8	8.8	8.8				8.8
Non-Appropriated S/F								
	<u>4.0</u>	<u>8.8</u>	<u>8.8</u>	<u>8.8</u>				<u>8.8</u>
Capital Outlay								
General Funds								
Appropriated S/F	5.0	15.4	19.8	15.4		-10.0		5.4
Non-Appropriated S/F								
	<u>5.0</u>	<u>15.4</u>	<u>19.8</u>	<u>15.4</u>		<u>-10.0</u>		<u>5.4</u>
Other Items								
General Funds								
Appropriated S/F								
Non-Appropriated S/F	8.4							
	<u>8.4</u>							
Malpractice Review								
General Funds								
Appropriated S/F		5.0	5.0	5.0				5.0
Non-Appropriated S/F								
		<u>5.0</u>	<u>5.0</u>	<u>5.0</u>				<u>5.0</u>
TOTAL								
General Funds								
Appropriated S/F	1,036.3	1,029.7	1,294.4	1,029.7			204.6	1,234.3
Non-Appropriated S/F	444.7							
	<u>1,481.0</u>	<u>1,029.7</u>	<u>1,294.4</u>	<u>1,029.7</u>			<u>204.6</u>	<u>1,234.3</u>

**OTHER ELECTIVE OFFICES
INSURANCE COMMISSIONER
REGULATORY ACTIVITIES
INTERNAL PROGRAM UNIT SUMMARY**

12-03-01								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
IPU REVENUES								
General Funds	84,872.1	75,931.8	75,931.8	75,931.8				75,931.8
Appropriated S/F	1,040.5	2,280.7	2,280.7	2,280.7				2,280.7
Non-Appropriated S/F	48,758.5							
	<u>134,671.1</u>	<u>78,212.5</u>	<u>78,212.5</u>	<u>78,212.5</u>				<u>78,212.5</u>
POSITIONS								
General Funds								
Appropriated S/F	12.0	12.0	14.0	12.0			2.0	14.0
Non-Appropriated S/F								
	<u>12.0</u>	<u>12.0</u>	<u>14.0</u>	<u>12.0</u>			<u>2.0</u>	<u>14.0</u>

BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS

*Recommend structural changes of \$10.0 ASF in Contractual Services and (\$10.0) ASF in Capital Outlay to reflect fiscal management. Do not recommend additional structural change of \$4.4 ASF in Capital Outlay.

*Recommend enhancements of \$131.6 ASF in Personnel Costs and 2.0 ASF FTEs (1.0 Investigator II and 1.0 Deputy Attorney General I) to perform insurance fraud investigations; and \$73.0 ASF in Personnel Costs to address the deficit associated with pay policy. Do not recommend additional enhancement of \$45.7 ASF in Personnel Costs.

**OTHER ELECTIVE OFFICES
INSURANCE COMMISSIONER
EXAM, REHAB & GUARANTY
INTERNAL PROGRAM UNIT SUMMARY**

12-03-02								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Personnel Costs								
General Funds								
Appropriated S/F	5,577.2	5,625.4	5,625.4	5,625.4				5,625.4
Non-Appropriated S/F	149.6	136.8	136.8	136.8				136.8
	<u>5,726.8</u>	<u>5,762.2</u>	<u>5,762.2</u>	<u>5,762.2</u>				<u>5,762.2</u>
Travel								
General Funds								
Appropriated S/F	58.4	40.5	40.5	40.5				40.5
Non-Appropriated S/F	5.5	0.2	0.2	0.2				0.2
	<u>63.9</u>	<u>40.7</u>	<u>40.7</u>	<u>40.7</u>				<u>40.7</u>
Contractual Services								
General Funds								
Appropriated S/F	1,221.7	1,313.3	1,313.3	1,313.3				1,313.3
Non-Appropriated S/F	144.8	14.4	14.4	14.4				14.4
	<u>1,366.5</u>	<u>1,327.7</u>	<u>1,327.7</u>	<u>1,327.7</u>				<u>1,327.7</u>
Supplies and Materials								
General Funds								
Appropriated S/F	34.8	39.7	39.7	39.7				39.7
Non-Appropriated S/F	1.1	0.5	0.5	0.5				0.5
	<u>35.9</u>	<u>40.2</u>	<u>40.2</u>	<u>40.2</u>				<u>40.2</u>
Capital Outlay								
General Funds								
Appropriated S/F	38.7	67.1	67.1	67.1				67.1
Non-Appropriated S/F								
	<u>38.7</u>	<u>67.1</u>	<u>67.1</u>	<u>67.1</u>				<u>67.1</u>
Captive Insurance Fund								
General Funds								
Appropriated S/F	3,142.2	3,481.9	3,481.9	3,481.9				3,481.9
Non-Appropriated S/F								
	<u>3,142.2</u>	<u>3,481.9</u>	<u>3,481.9</u>	<u>3,481.9</u>				<u>3,481.9</u>
Arbitration Program								
General Funds								
Appropriated S/F	36.6	36.5	36.5	36.5				36.5
Non-Appropriated S/F								
	<u>36.6</u>	<u>36.5</u>	<u>36.5</u>	<u>36.5</u>				<u>36.5</u>
Contract Examiners								
General Funds								
Appropriated S/F	14,940.0	12,904.3	15,000.0	12,904.3	2,095.7			15,000.0
Non-Appropriated S/F								
	<u>14,940.0</u>	<u>12,904.3</u>	<u>15,000.0</u>	<u>12,904.3</u>	<u>2,095.7</u>			<u>15,000.0</u>
IHCAP								
General Funds								
Appropriated S/F	24.0	30.0	30.0	30.0				30.0
Non-Appropriated S/F								
	<u>24.0</u>	<u>30.0</u>	<u>30.0</u>	<u>30.0</u>				<u>30.0</u>

**OTHER ELECTIVE OFFICES
INSURANCE COMMISSIONER
EXAM, REHAB & GUARANTY
INTERNAL PROGRAM UNIT SUMMARY**

12-03-02								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
TOTAL								
General Funds								
Appropriated S/F	25,073.6	23,538.7	25,634.4	23,538.7	2,095.7			25,634.4
Non-Appropriated S/F	301.0	151.9	151.9	151.9				151.9
	<u>25,374.6</u>	<u>23,690.6</u>	<u>25,786.3</u>	<u>23,690.6</u>	<u>2,095.7</u>			<u>25,786.3</u>
IPU REVENUES								
General Funds								
Appropriated S/F	27,213.8	23,538.7	23,538.7	23,538.7				23,538.7
Non-Appropriated S/F	300.9	201.5	201.5	201.5				201.5
	<u>27,514.7</u>	<u>23,740.2</u>	<u>23,740.2</u>	<u>23,740.2</u>				<u>23,740.2</u>
POSITIONS								
General Funds								
Appropriated S/F	77.0	84.0	84.0	84.0				84.0
Non-Appropriated S/F	2.0	3.0	3.0	3.0				3.0
	<u>79.0</u>	<u>87.0</u>	<u>87.0</u>	<u>87.0</u>				<u>87.0</u>

BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS

*Recommend inflation and volume adjustment of \$2,095.7 ASF in Contract Examiners for examiner fees.

**OTHER ELECTIVE OFFICES
STATE TREASURER
APPROPRIATION UNIT SUMMARY**

12-05-00 Programs	POSITIONS				DOLLARS			
	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Recommend	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Recommend
Administration								
General Funds	5.0	5.0	5.0	5.0	956.3	823.0	834.5	834.5
Appropriated S/F	3.0	3.0	2.0	2.0	562.0	626.4	631.8	631.8
Non-Appropriated S/F								
	<u>8.0</u>	<u>8.0</u>	<u>7.0</u>	<u>7.0</u>	<u>1,518.3</u>	<u>1,449.4</u>	<u>1,466.3</u>	<u>1,466.3</u>
Cash and Debt Management								
General Funds								
Appropriated S/F	4.0	7.0	7.0	7.0	2,751.7	3,442.9	4,180.8	3,897.5
Non-Appropriated S/F					319.1			
	<u>4.0</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>	<u>3,070.8</u>	<u>3,442.9</u>	<u>4,180.8</u>	<u>3,897.5</u>
Debt Management								
General Funds					184,213.9	186,615.1	186,615.1	202,998.5
Appropriated S/F					71,947.5	73,039.0	73,039.0	73,039.0
Non-Appropriated S/F								
					<u>256,161.4</u>	<u>259,654.1</u>	<u>259,654.1</u>	<u>276,037.5</u>
Refunds and Grants								
General Funds								
Appropriated S/F								
Non-Appropriated S/F					442,970.2	180,400.0	180,400.0	180,400.0
					<u>442,970.2</u>	<u>180,400.0</u>	<u>180,400.0</u>	<u>180,400.0</u>
Reconciliation and Transaction Management								
General Funds	5.0	6.0	6.0	6.0	323.5	351.2	469.1	469.1
Appropriated S/F	3.0	3.0	4.0	4.0	313.7	327.3	416.7	394.4
Non-Appropriated S/F					2,112.1			
	<u>8.0</u>	<u>9.0</u>	<u>10.0</u>	<u>10.0</u>	<u>2,749.3</u>	<u>678.5</u>	<u>885.8</u>	<u>863.5</u>
Contributions and Plan Management								
General Funds	1.0				89.3	75.0	75.0	75.0
Appropriated S/F			1.0				89.4	
Non-Appropriated S/F	3.0	4.0	4.0	4.0	415.2	438.2	438.2	438.2
	<u>4.0</u>	<u>4.0</u>	<u>5.0</u>	<u>4.0</u>	<u>504.5</u>	<u>513.2</u>	<u>602.6</u>	<u>513.2</u>
TOTAL								
General Funds	11.0	11.0	11.0	11.0	185,583.0	187,864.3	187,993.7	204,377.1
Appropriated S/F	10.0	13.0	14.0	13.0	75,574.9	77,435.6	78,357.7	77,962.7
Non-Appropriated S/F	3.0	4.0	4.0	4.0	445,816.6	180,838.2	180,838.2	180,838.2
	<u>24.0</u>	<u>28.0</u>	<u>29.0</u>	<u>28.0</u>	<u>706,974.5</u>	<u>446,138.1</u>	<u>447,189.6</u>	<u>463,178.0</u>

**OTHER ELECTIVE OFFICES
STATE TREASURER
ADMINISTRATION
INTERNAL PROGRAM UNIT SUMMARY**

12-05-01								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Personnel Costs								
General Funds	756.5	631.2	637.3	637.3				637.3
Appropriated S/F	345.8	356.1	356.1	356.1				356.1
Non-Appropriated S/F								
	<u>1,102.3</u>	<u>987.3</u>	<u>993.4</u>	<u>993.4</u>				<u>993.4</u>
Travel								
General Funds								
Appropriated S/F	17.3	24.5	24.5	24.5				24.5
Non-Appropriated S/F								
	<u>17.3</u>	<u>24.5</u>	<u>24.5</u>	<u>24.5</u>				<u>24.5</u>
Contractual Services								
General Funds	194.5	186.5	191.9	186.5	5.4			191.9
Appropriated S/F	170.8	211.2	216.6	211.2	5.4			216.6
Non-Appropriated S/F								
	<u>365.3</u>	<u>397.7</u>	<u>408.5</u>	<u>397.7</u>	<u>10.8</u>			<u>408.5</u>
Supplies and Materials								
General Funds	5.3	5.3	5.3	5.3				5.3
Appropriated S/F	24.1	9.1	9.1	9.1				9.1
Non-Appropriated S/F								
	<u>29.4</u>	<u>14.4</u>	<u>14.4</u>	<u>14.4</u>				<u>14.4</u>
Capital Outlay								
General Funds								
Appropriated S/F		25.5	25.5	25.5				25.5
Non-Appropriated S/F								
		<u>25.5</u>	<u>25.5</u>	<u>25.5</u>				<u>25.5</u>
Data Processing								
General Funds								
Appropriated S/F	4.0							
Non-Appropriated S/F								
	<u>4.0</u>							
TOTAL								
General Funds	956.3	823.0	834.5	829.1	5.4			834.5
Appropriated S/F	562.0	626.4	631.8	626.4	5.4			631.8
Non-Appropriated S/F								
	<u>1,518.3</u>	<u>1,449.4</u>	<u>1,466.3</u>	<u>1,455.5</u>	<u>10.8</u>			<u>1,466.3</u>
IPU REVENUES								
General Funds	10,350.5	3,939.7	3,939.7	3,939.7				3,939.7
Appropriated S/F	3,975.1	904.0	904.0	904.0				904.0
Non-Appropriated S/F		27,630.0	27,630.0	27,630.0				27,630.0
	<u>14,325.6</u>	<u>32,473.7</u>	<u>32,473.7</u>	<u>32,473.7</u>				<u>32,473.7</u>

**OTHER ELECTIVE OFFICES
STATE TREASURER
ADMINISTRATION
INTERNAL PROGRAM UNIT SUMMARY**

12-05-01								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
POSITIONS								
General Funds	5.0	5.0	5.0	5.0				5.0
Appropriated S/F	3.0	3.0	2.0	2.0				2.0
Non-Appropriated S/F								
	<u>8.0</u>	<u>8.0</u>	<u>7.0</u>	<u>7.0</u>				<u>7.0</u>

BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS

*Base adjustments include (1.0) ASF FTE to address critical workforce needs.

*Recommend inflation and volume adjustments of \$5.4 and \$5.4 ASF in Contractual Services for lease obligations.

**OTHER ELECTIVE OFFICES
STATE TREASURER
CASH AND DEBT MANAGEMENT
INTERNAL PROGRAM UNIT SUMMARY**

12-05-02								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Personnel Costs								
General Funds								
Appropriated S/F	394.6	710.5	710.5	710.5				710.5
Non-Appropriated S/F	<u>394.6</u>	<u>710.5</u>	<u>710.5</u>	<u>710.5</u>				<u>710.5</u>
Contractual Services								
General Funds								
Appropriated S/F								
Non-Appropriated S/F	<u>319.1</u>							
	319.1							
Banking Services								
General Funds								
Appropriated S/F	2,357.1	2,732.4	3,470.3	2,732.4			454.6	3,187.0
Non-Appropriated S/F	<u>2,357.1</u>	<u>2,732.4</u>	<u>3,470.3</u>	<u>2,732.4</u>			<u>454.6</u>	<u>3,187.0</u>
TOTAL								
General Funds								
Appropriated S/F	2,751.7	3,442.9	4,180.8	3,442.9			454.6	3,897.5
Non-Appropriated S/F	<u>319.1</u>	<u></u>	<u></u>	<u></u>			<u>454.6</u>	<u></u>
	3,070.8	3,442.9	4,180.8	3,442.9			454.6	3,897.5
IPU REVENUES								
General Funds								
Appropriated S/F		2,632.4	2,632.4	2,632.4				2,632.4
Non-Appropriated S/F		<u>2,632.4</u>	<u>2,632.4</u>	<u>2,632.4</u>				<u>2,632.4</u>
POSITIONS								
General Funds								
Appropriated S/F	4.0	7.0	7.0	7.0				7.0
Non-Appropriated S/F	<u>4.0</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>				<u>7.0</u>

BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS

*Recommend enhancements of \$454.6 ASF in Banking Services for new equipment needs related to Payment Card Industry compliance and merchant services. Do not recommend additional enhancement of \$283.3 ASF in Banking Services.

**OTHER ELECTIVE OFFICES
STATE TREASURER
DEBT MANAGEMENT
INTERNAL PROGRAM UNIT SUMMARY**

12-05-03								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Debt Service								
General Funds	183,870.0	186,131.0	186,131.0	202,514.4				202,514.4
Appropriated S/F								
Non-Appropriated S/F								
	<u>183,870.0</u>	<u>186,131.0</u>	<u>186,131.0</u>	<u>202,514.4</u>				<u>202,514.4</u>
Debt Svc. - Local Schools								
General Funds								
Appropriated S/F	71,947.5	73,039.0	73,039.0	73,039.0				73,039.0
Non-Appropriated S/F								
	<u>71,947.5</u>	<u>73,039.0</u>	<u>73,039.0</u>	<u>73,039.0</u>				<u>73,039.0</u>
Expense of Issuing Bonds								
General Funds	254.1	354.1	354.1	354.1				354.1
Appropriated S/F								
Non-Appropriated S/F								
	<u>254.1</u>	<u>354.1</u>	<u>354.1</u>	<u>354.1</u>				<u>354.1</u>
Financial Advisor								
General Funds	89.8	130.0	130.0	130.0				130.0
Appropriated S/F								
Non-Appropriated S/F								
	<u>89.8</u>	<u>130.0</u>	<u>130.0</u>	<u>130.0</u>				<u>130.0</u>
TOTAL								
General Funds	184,213.9	186,615.1	186,615.1	202,998.5				202,998.5
Appropriated S/F	71,947.5	73,039.0	73,039.0	73,039.0				73,039.0
Non-Appropriated S/F								
	<u>256,161.4</u>	<u>259,654.1</u>	<u>259,654.1</u>	<u>276,037.5</u>				<u>276,037.5</u>
IPU REVENUES								
General Funds	24,905.3	46,400.0	46,400.0	46,400.0				46,400.0
Appropriated S/F	72,758.5	71,573.5	71,573.5	71,573.5				71,573.5
Non-Appropriated S/F	5,127.3	665.3	665.3	665.3				665.3
	<u>102,791.1</u>	<u>118,638.8</u>	<u>118,638.8</u>	<u>118,638.8</u>				<u>118,638.8</u>
POSITIONS								
General Funds								
Appropriated S/F								
Non-Appropriated S/F								
BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS								

*Base adjustments include \$16,383.4 in Debt Service to reflect projected expenditures.

**OTHER ELECTIVE OFFICES
STATE TREASURER
REFUNDS AND GRANTS
INTERNAL PROGRAM UNIT SUMMARY**

12-05-04								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Other Items								
General Funds								
Appropriated S/F								
Non-Appropriated S/F	367,751.2	180,400.0	180,400.0	180,400.0				180,400.0
	367,751.2	180,400.0	180,400.0	180,400.0				180,400.0
Other Items								
General Funds								
Appropriated S/F								
Non-Appropriated S/F	75,219.0							
	75,219.0							
TOTAL								
General Funds								
Appropriated S/F								
Non-Appropriated S/F	442,970.2	180,400.0	180,400.0	180,400.0				180,400.0
	442,970.2	180,400.0	180,400.0	180,400.0				180,400.0
IPU REVENUES								
General Funds								
Appropriated S/F								
Non-Appropriated S/F	394,444.8	180,400.0	180,400.0	180,400.0				180,400.0
	394,444.8	180,400.0	180,400.0	180,400.0				180,400.0
POSITIONS								
General Funds								
Appropriated S/F								
Non-Appropriated S/F								

BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS

*Recommend base funding to maintain Fiscal Year 2020 level of service.

**OTHER ELECTIVE OFFICES
STATE TREASURER
RECONCILIATION AND TRANSACTION MANAGEMENT
INTERNAL PROGRAM UNIT SUMMARY**

12-05-05								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Personnel Costs								
General Funds	323.5	351.2	469.1	469.1				469.1
Appropriated S/F	190.7	187.2	276.6	187.2			67.1	254.3
Non-Appropriated S/F								
	<u>514.2</u>	<u>538.4</u>	<u>745.7</u>	<u>656.3</u>			<u>67.1</u>	<u>723.4</u>
Contractual Services								
General Funds								
Appropriated S/F	70.7	83.0	83.0	83.0				83.0
Non-Appropriated S/F	2,112.1							
	<u>2,182.8</u>	<u>83.0</u>	<u>83.0</u>	<u>83.0</u>				<u>83.0</u>
Data Processing								
General Funds								
Appropriated S/F	52.3	57.1	57.1	57.1				57.1
Non-Appropriated S/F								
	<u>52.3</u>	<u>57.1</u>	<u>57.1</u>	<u>57.1</u>				<u>57.1</u>
TOTAL								
General Funds	323.5	351.2	469.1	469.1				469.1
Appropriated S/F	313.7	327.3	416.7	327.3			67.1	394.4
Non-Appropriated S/F	2,112.1							
	<u>2,749.3</u>	<u>678.5</u>	<u>885.8</u>	<u>796.4</u>			<u>67.1</u>	<u>863.5</u>
IPU REVENUES								
General Funds								
Appropriated S/F		140.0	140.0	140.0				140.0
Non-Appropriated S/F	2,112.0							
	<u>2,112.0</u>	<u>140.0</u>	<u>140.0</u>	<u>140.0</u>				<u>140.0</u>
POSITIONS								
General Funds	5.0	6.0	6.0	6.0				6.0
Appropriated S/F	3.0	3.0	4.0	3.0			1.0	4.0
Non-Appropriated S/F								
	<u>8.0</u>	<u>9.0</u>	<u>10.0</u>	<u>9.0</u>			<u>1.0</u>	<u>10.0</u>

BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS

*Recommend enhancements of \$67.1 ASF in Personnel Costs and 1.0 ASF FTE IT Solutions Integrator to support work related to Payment Card Industry compliance and merchant services. Do not recommend additional enhancement of \$22.3 ASF in Personnel Costs.

**OTHER ELECTIVE OFFICES
STATE TREASURER
CONTRIBUTIONS AND PLAN MANAGEMENT
INTERNAL PROGRAM UNIT SUMMARY**

12-05-06								
Lines	FY 2019 Actual	FY 2020 Budget	FY 2021 Request	FY 2021 Base	Inflation & Volume Adjustment	Structural Changes	Enhance- ments	FY 2021 Recommend
Personnel Costs								
General Funds								
Appropriated S/F			89.4					
Non-Appropriated S/F	350.5	438.2	438.2	438.2				438.2
	350.5	438.2	527.6	438.2				438.2
Contractual Services								
General Funds								
Appropriated S/F								
Non-Appropriated S/F	64.7							
	64.7							
403B Plans								
General Funds	89.3	75.0	75.0	75.0				75.0
Appropriated S/F								
Non-Appropriated S/F								
	89.3	75.0	75.0	75.0				75.0
TOTAL								
General Funds	89.3	75.0	75.0	75.0				75.0
Appropriated S/F			89.4					
Non-Appropriated S/F	415.2	438.2	438.2	438.2				438.2
	504.5	513.2	602.6	513.2				513.2
IPU REVENUES								
General Funds								
Appropriated S/F								
Non-Appropriated S/F	396.8	323.6	323.6	323.6				323.6
	396.8	323.6	323.6	323.6				323.6
POSITIONS								
General Funds	1.0							
Appropriated S/F			1.0					
Non-Appropriated S/F	3.0	4.0	4.0	4.0				4.0
	4.0	4.0	5.0	4.0				4.0

BASE, INFLATION, STRUCTURAL CHANGES, ENHANCEMENTS AND ONE-TIME ITEMS

*Do not recommend enhancements of \$89.4 ASF in Personnel Costs and 1.0 ASF FTE Executive Assistant.